

Thick subcategories and Gorenstein projective modules

Tom Marley

University of Nebraska

April 16, 2023

Derived categories

This is joint work with Laila Awadalla.

Derived categories

This is joint work with Laila Awadalla.

Set-up and notation:

R will be a commutative Noetherian local ring with maximal ideal \mathfrak{m} and residue field k .

Derived categories

This is joint work with Laila Awadalla.

Set-up and notation:

R will be a commutative Noetherian local ring with maximal ideal \mathfrak{m} and residue field k .

Let $D(R)$ denote the derived category of R . All subcategories in this talk are assumed to be full.

$D_+(R)$ and $D_b(R)$ will denote the subcategories of $D(R)$ consisting of the (homologically) bounded below and bounded complexes, respectively.

Derived categories

This is joint work with Laila Awadalla.

Set-up and notation:

R will be a commutative Noetherian local ring with maximal ideal \mathfrak{m} and residue field k .

Let $D(R)$ denote the derived category of R . All subcategories in this talk are assumed to be full.

$D_+(R)$ and $D_b(R)$ will denote the subcategories of $D(R)$ consisting of the (homologically) bounded below and bounded complexes, respectively.

If A is a subcategory of $D(R)$, A^f will denote the subcategory consisting of all complexes C in A such that $H_n(C)$ is finitely generated for all n .

Thick subcategories

A subcategory A of $D(R)$ is called **thick** if it is additive, closed under retracts, and has the property that for any exact triangle of $D(R)$, if two of the objects are in A , so is the third.

Thick subcategories

A subcategory A of $D(R)$ is called **thick** if it is additive, closed under retracts, and has the property that for any exact triangle of $D(R)$, if two of the objects are in A , so is the third.

Examples

The following subcategories of $D(R)$ are thick:

- $D(R)$, $D^f(R)$, $D_b(R)$, etc.



Thick subcategories

A subcategory A of $D(R)$ is called **thick** if it is additive, closed under retracts, and has the property that for any exact triangle of $D(R)$, if two of the objects are in A , so is the third.

Examples

The following subcategories of $D(R)$ are thick:

- $D(R)$, $D^f(R)$, $D_b(R)$, etc.
- The subcategory consisting of all complexes C such that $H(C)$ has finite length.

Thick subcategories

A subcategory A of $D(R)$ is called **thick** if it is additive, closed under retracts, and has the property that for any exact triangle of $D(R)$, if two of the objects are in A , so is the third.

Examples

The following subcategories of $D(R)$ are thick:

- $D(R)$, $D^f(R)$, $D_b(R)$, etc.
- The subcategory consisting of all complexes C such that $H(C)$ has finite length.
- The subcategories consisting of all complexes of finite projective/injective/flat dimension.

Thick subcategories

A subcategory A of $D(R)$ is called **thick** if it is additive, closed under retracts, and has the property that for any exact triangle of $D(R)$, if two of the objects are in A , so is the third.

Examples

The following subcategories of $D(R)$ are thick:

- $D(R)$, $D^f(R)$, $D_b(R)$, etc.
- The subcategory consisting of all complexes C such that $H(C)$ has finite length.
- The subcategories consisting of all complexes of finite projective/injective/flat dimension.
- For any subset U of $\text{Spec } R$, the subcategory consisting of all complexes C such that $C \otimes_R^L k(\mathfrak{p}) \simeq 0$ for all $\mathfrak{p} \in U$.

Generation of thick subcategories

Given a collection of complexes S of $D(R)$, define $\text{thick}_R(S)$ to be the intersection of all thick subcategories containing S . This is called the **thick subcategory generated by S** .

Generation of thick subcategories

Given a collection of complexes S of $D(R)$, define $\text{thick}_R(S)$ to be the intersection of all thick subcategories containing S . This is called the **thick subcategory generated by S** .

Every complex in $\text{thick}_R(S)$ can be “built” from complexes in S using **finitely** many direct sums, retracts, shifts, and mapping cones (i.e., extensions in $D(R)$).

Generation of thick subcategories

Given a collection of complexes S of $D(R)$, define $\text{thick}_R(S)$ to be the intersection of all thick subcategories containing S . This is called the **thick subcategory generated by S** .

Every complex in $\text{thick}_R(S)$ can be “built” from complexes in S using **finitely** many direct sums, retracts, shifts, and mapping cones (i.e., extensions in $D(R)$).

- $\text{thick}_R(R)$ is the subcategory of **perfect complexes**; i.e., the subcategory consisting of complexes in $D(R)$ which are isomorphic to bounded complexes of f.g. projective modules.

Generation of thick subcategories

Given a collection of complexes S of $D(R)$, define $\text{thick}_R(S)$ to be the intersection of all thick subcategories containing S . This is called the **thick subcategory generated by S** .

Every complex in $\text{thick}_R(S)$ can be “built” from complexes in S using **finitely** many direct sums, retracts, shifts, and mapping cones (i.e., extensions in $D(R)$).

- $\text{thick}_R(R)$ is the subcategory of **perfect complexes**; i.e., the subcategory consisting of complexes in $D(R)$ which are isomorphic to bounded complexes of f.g. projective modules.
- $\text{thick}_R(k)$ is the subcategory consisting of complexes isomorphic in $D(R)$ to a bounded complex with finite length homology.

Thickenings

We can filter $\text{thick}_R(S)$ using subcategories $\text{thick}_R^n(S)$ defined as follows:

- $\text{thick}_R^0(S) := \{0\}$;
- For $n \geq 1$, $M \in \text{thick}_R^n(S)$ if and only if M can be built from complexes in S using finite direct sums, shifts, retracts, and at most $n - 1$ mapping cones.

Thickenings

We can filter $\text{thick}_R(S)$ using subcategories $\text{thick}_R^n(S)$ defined as follows:

- $\text{thick}_R^0(S) := \{0\}$;
- For $n \geq 1$, $M \in \text{thick}_R^n(S)$ if and only if M can be built from complexes in S using finite direct sums, shifts, retracts, and at most $n - 1$ mapping cones.

If S is a collection of modules closed under direct summands and finite sums then $M \in \text{thick}_R^1(S)$ if and only if M is isomorphic in $D(R)$ to a bounded complex of modules from S with zero differentials.

Levels

Given a complex M and a collection of complexes S , we define the **level** of M with respect to S by

$$\text{level}_R^S M := \inf\{n \geq 0 \mid M \in \text{thick}_R^n(S)\}.$$

Levels

Given a complex M and a collection of complexes S , we define the **level** of M with respect to S by

$$\text{level}_R^S M := \inf\{n \geq 0 \mid M \in \text{thick}_R^n(S)\}.$$

- $\text{level}_R^S M < \infty \iff M \in \text{thick}_R(S).$
- $\text{level}_R^S M \geq 1 \iff M \not\cong 0.$

Levels

Given a complex M and a collection of complexes S , we define the **level** of M with respect to S by

$$\text{level}_R^S M := \inf\{n \geq 0 \mid M \in \text{thick}_R^n(S)\}.$$

- $\text{level}_R^S M < \infty \iff M \in \text{thick}_R(S).$
- $\text{level}_R^S M \geq 1 \iff M \not\cong 0.$

In the case $S = \{R\}$, for any complex M in $D_+^f(R)$:

$$\text{level}_R^R M < \infty \iff \text{pd}_R M < \infty.$$

Levels

Given a complex M and a collection of complexes S , we define the **level** of M with respect to S by

$$\text{level}_R^S M := \inf\{n \geq 0 \mid M \in \text{thick}_R^n(S)\}.$$

- $\text{level}_R^S M < \infty \iff M \in \text{thick}_R(S).$
- $\text{level}_R^S M \geq 1 \iff M \not\cong 0.$

In the case $S = \{R\}$, for any complex M in $D_+^f(R)$:

$$\text{level}_R^R M < \infty \iff \text{pd}_R M < \infty.$$

Example

Let M be the complex $0 \rightarrow R \xrightarrow{0} R \rightarrow 0$ situated in any homological degree. Then $\text{pd}_R M = \sup M$ while $\text{level}_R^R M = 1.$

Applications

The concept of level was studied or implicit in the works of Beilinson, Bernstein, Deligne (1982), J.D. Christensen (1998), Bondal and Van den Bergh (2003), Rouquier (2008) and many others.

Applications

The concept of level was studied or implicit in the works of Beilinson, Bernstein, Deligne (1982), J.D. Christensen (1998), Bondal and Van den Bergh (2003), Rouquier (2008) and many others.

Theorem (Avramov-Buchweitz-Iyengar-Miller, 2010)

Let F be a finite complex of free R -modules such that $H(F)$ has nonzero finite length. Then

$$\sum_{n \in \mathbb{Z}} \ell_R H_n(F) \geq \text{level}_R^k F \geq \text{cf-rank } R + 1,$$

where $\ell_R(-)$ denotes Loewy length and $\text{cf-rank } R$ is the conormal free rank of R .

Level and projective dimension

For a nonzero complex M in $D_b^f(R)$ one can show:

$$\mathrm{pd}_R M - \sup M + 1 \leq \mathrm{level}_R^R M \leq \mathrm{pd}_R M - \inf M + 1.$$

Level and projective dimension

For a nonzero complex M in $D_b^f(R)$ one can show:

$$\mathrm{pd}_R M - \sup M + 1 \leq \mathrm{level}_R^R M \leq \mathrm{pd}_R M - \inf M + 1.$$

Theorem (J.D. Christensen, 1998)

For a finitely generated R -module M , $\mathrm{level}_R^R M = \mathrm{pd}_R M + 1$.

Level and projective dimension

For a nonzero complex M in $D_b^f(R)$ one can show:

$$\mathrm{pd}_R M - \sup M + 1 \leq \mathrm{level}_R^R M \leq \mathrm{pd}_R M - \inf M + 1.$$

Theorem (J.D. Christensen, 1998)

For a finitely generated R -module M , $\mathrm{level}_R^R M = \mathrm{pd}_R M + 1$.

Theorem (Avramov-Buchweitz-Iyengar-Miller, 2010)

The following are equivalent:

- 1 R is regular;
- 2 $\mathrm{level}_R^R k < \infty$;
- 3 $\mathrm{level}_R^R k = \dim R + 1$;
- 4 $\mathrm{level}_R^R M \leq \dim R + 1$ for any M in $D_b^f(R)$.

Gorenstein projective modules

Definition

A finitely generated module is called **Gorenstein projective** if $M \cong M^{**}$ and $\text{Ext}_R^i(M, R) = \text{Ext}_R^i(M^*, R) = 0$ for all $i > 0$, where $(-)^*$ denotes the functor $\text{Hom}_R(-, R)$.

Gorenstein projective modules

Definition

A finitely generated module is called **Gorenstein projective** if $M \cong M^{**}$ and $\text{Ext}_R^i(M, R) = \text{Ext}_R^i(M^*, R) = 0$ for all $i > 0$, where $(-)^*$ denotes the functor $\text{Hom}_R(-, R)$.

Examples

- All finitely generated projective modules are Gorenstein projective.
- If R is Gorenstein then M is Gorenstein projective if and only if M is a maximal CM module.

Gorenstein projective modules

Definition

A finitely generated module is called **Gorenstein projective** if $M \cong M^{**}$ and $\text{Ext}_R^i(M, R) = \text{Ext}_R^i(M^*, R) = 0$ for all $i > 0$, where $(-)^*$ denotes the functor $\text{Hom}_R(-, R)$.

Examples

- All finitely generated projective modules are Gorenstein projective.
- If R is Gorenstein then M is Gorenstein projective if and only if M is a maximal CM module.

The **Gorenstein projective dimension** $\text{Gpd}_R M$ of a f.g. module M is the shortest length of a resolution by Gorenstein projectives.



Level with Gorenstein projectives

Let \mathcal{G} denote the set of all f.g. Gorenstein projective modules.

Level with Gorenstein projectives

Let G denote the set of all f.g. Gorenstein projective modules.

Then $\text{thick}_R(G)$ consists of all complexes which are isomorphic in $D(R)$ to a bounded complex of finitely generated Gorenstein projective modules, i.e., the “ G -perfect” complexes.

Level with Gorenstein projectives

Let G denote the set of all f.g. Gorenstein projective modules.

Then $\text{thick}_R(G)$ consists of all complexes which are isomorphic in $D(R)$ to a bounded complex of finitely generated Gorenstein projective modules, i.e., the “ G -perfect” complexes. Thus,

$$\text{thick}_R(G) = \{M \in D_+^f(R) \mid \text{Gpd}_R M < \infty\}.$$

Level with Gorenstein projectives

Let G denote the set of all f.g. Gorenstein projective modules.

Then $\text{thick}_R(G)$ consists of all complexes which are isomorphic in $D(R)$ to a bounded complex of finitely generated Gorenstein projective modules, i.e., the “ G -perfect” complexes. Thus,

$$\text{thick}_R(G) = \{M \in D_+^f(R) \mid \text{Gpd}_R M < \infty\}.$$

It is straightforward to see that for $M \in D_+^f(R)$

$$\text{level}_R^G M \leq \text{Gpd}_R M - \inf M + 1.$$

Main Results

Theorem (Awadalla - M)

For M in $D_b^f(R)$ we have $\text{level}_R^G M \geq \text{Gpd}_R M - \text{sup } M + 1$.

Main Results

Theorem (Awadalla - M)

For M in $D_b^f(R)$ we have $\text{level}_R^G M \geq \text{Gpd}_R M - \text{sup } M + 1$.

Outline of proof:

Main Results

Theorem (Awadalla - M)

For M in $D_b^f(R)$ we have $\text{level}_R^G M \geq \text{Gpd}_R M - \sup M + 1$.

Outline of proof:

- Let $n = \sup M$ and $X \in D_b^f(R)$ be isomorphic to M such that X_i is projective for all $i \neq n$ and X_n is Gorenstein projective. (L.W. Christensen- Iyengar, 2007)

Main Results

Theorem (Awadalla - M)

For M in $D_b^f(R)$ we have $\text{level}_R^G M \geq \text{Gpd}_R M - \sup M + 1$.

Outline of proof:

- Let $n = \sup M$ and $X \in D_b^f(R)$ be isomorphic to M such that X_i is projective for all $i \neq n$ and X_n is Gorenstein projective. (L.W. Christensen- Iyengar, 2007)
- For all $i \geq n$, the morphisms $\phi_i : X_{\geq i} \rightarrow X_{\geq i+1}$ are G-ghost; i.e., $\text{Ext}_R^*(A, \phi_i) = 0$ for all $A \in G$.

Main Results

Theorem (Awadalla - M)

For M in $D_b^f(R)$ we have $\text{level}_R^G M \geq \text{Gpd}_R M - \sup M + 1$.

Outline of proof:

- Let $n = \sup M$ and $X \in D_b^f(R)$ be isomorphic to M such that X_i is projective for all $i \neq n$ and X_n is Gorenstein projective. (L.W. Christensen- Iyengar, 2007)
- For all $i \geq n$, the morphisms $\phi_i : X_{\geq i} \rightarrow X_{\geq i+1}$ are G -ghost; i.e., $\text{Ext}_R^*(A, \phi_i) = 0$ for all $A \in G$.
- $\phi_{g-1}\phi_{g-2} \cdots \phi_n$ is nonzero in $D_b^f(R)$, where $g = \text{Gpd}_R M$.

Main Results

Theorem (Awadalla - M)

For M in $D_b^f(R)$ we have $\text{level}_R^G M \geq \text{Gpd}_R M - \sup M + 1$.

Outline of proof:

- Let $n = \sup M$ and $X \in D_b^f(R)$ be isomorphic to M such that X_i is projective for all $i \neq n$ and X_n is Gorenstein projective. (L.W. Christensen- Iyengar, 2007)
- For all $i \geq n$, the morphisms $\phi_i : X_{\geq i} \rightarrow X_{\geq i+1}$ are G -ghost; i.e., $\text{Ext}_R^*(A, \phi_i) = 0$ for all $A \in G$.
- $\phi_{g-1}\phi_{g-2}\cdots\phi_n$ is nonzero in $D_b^f(R)$, where $g = \text{Gpd}_R M$.
- By the Ghost lemma, $\text{level}_R^G M \geq g - n + 1$.

Main Results

Corollary (Awadalla - M)

For all f.g. modules M , $\text{level}_R^G M = \text{Gpd}_R M + 1$.

Main Results

Corollary (Awadalla - M)

For all f.g. modules M , $\text{level}_R^G M = \text{Gpd}_R M + 1$.

Theorem (Awadalla - M)

The following are equivalent:

- 1 R is Gorenstein.
- 2 $\text{level}_R^G k < \infty$
- 3 $\text{level}_R^G k = \dim R + 1$
- 4 $\text{level}_R^G M \leq 2(\dim R + 1)$ for all M in $D_b^f(R)$.

Main Results

Corollary (Awadalla - M)

For all f.g. modules M , $\text{level}_R^G M = \text{Gpd}_R M + 1$.

Theorem (Awadalla - M)

The following are equivalent:

- 1 R is Gorenstein.
- 2 $\text{level}_R^G k < \infty$
- 3 $\text{level}_R^G k = \dim R + 1$
- 4 $\text{level}_R^G M \leq 2(\dim R + 1)$ for all M in $D_b^f(R)$.

Note: The bound in condition (4) is obtained in some examples. However, when R is regular, $\text{level}_R^G M \leq \dim R + 1$.

Main results

Proof of (1) \implies (4):

- Consider the exact triangle $Z \rightarrow M \rightarrow \Sigma B \rightarrow \Sigma Z$.

Main results

Proof of (1) \implies (4):

- Consider the exact triangle $Z \rightarrow M \rightarrow \Sigma B \rightarrow \Sigma Z$.
- As Z and B have zero differentials, and every f.g. module has Gpd at most $\dim R$, we have $\text{level}_R^{\text{G}} Z$ and $\text{level}_R^{\text{G}} B$ are at most $\dim R + 1$.

Main results

Proof of (1) \implies (4):

- Consider the exact triangle $Z \rightarrow M \rightarrow \Sigma B \rightarrow \Sigma Z$.
- As Z and B have zero differentials, and every f.g. module has Gpd at most $\dim R$, we have $\text{level}_R^{\text{G}} Z$ and $\text{level}_R^{\text{G}} B$ are at most $\dim R + 1$.
- Hence, $\text{level}_R^{\text{G}} M \leq 2(\dim R + 1)$.

The End

Thank you!