

# Twisted Hermitian Codes and the McEliece Cryptosystem

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## What is coding theory?

Coding theory studies the properties of codes and their various applications. Its goal is to provide reliable communication or reliable storage of data.

## What are codes used for?

- ▶ Data transmission
- ▶ Data storage
- ▶ Error/correction
- ▶ Cryptography

# The Hermitian code

## Definition

Fix a prime power  $q$ , and let  $\mathbb{F}_q$  be the finite field with  $q$  elements. A *Hermitian code* by the set

$$\mathcal{C}(\alpha P_\infty) := \{(f(P_1), \dots, f(P_n)) : f \in \mathcal{L}(\alpha P_\infty)\} \subseteq (\mathbb{F}_q)^n,$$

where

$$\mathcal{L}(\alpha P_\infty) = \langle 1, x, y, x^2, xy, y^2, x^3, x^2y, \dots, x^m y^n \rangle,$$

and  $m$  and  $n$  are the largest integers such that  $mq + n(q + 1) \leq \alpha$ .

# Example

## A Hermitian code

Let  $q = 5$  and  $\alpha = 12$ .

For every  $x^i y^j$ , we require that  $i$  and  $j$  satisfy the equation  $5i + 6j \leq 12$ . Thus,

$$\mathcal{L}(12P_\infty) = \langle 1, x, y, x^2, xy, y^2 \rangle.$$

## The McEliece cryptosystem

- ▶ Public key cryptosystem
- ▶ Security based on code indistinguishability
- ▶ Candidate for use in the post-quantum era

# The McEliece cryptosystem

## Method of Encryption and Decryption

Let

- ▶  $S \in \mathbb{F}_q^{k \times k}$  be an invertible matrix.
- ▶  $P \in \mathbb{F}_q^{n \times n}$  be a permutation matrix.
- ▶  $G \in \mathbb{F}_q^{k \times n}$  be a generator matrix for a  $t$ -error correcting code.

Set  $G^{pub} = SGP \in \mathbb{F}_q^{k \times n}$ .

- ▶ Release  $(G^{pub}, t)$  as the public key.
- ▶ Keep  $(S, D_C, P)$  as the private key where  $D_C$  is an efficient decoding algorithm.

# Encryption and Decryption

To send a private message  $m = (m_1, m_2, \dots, m_k) \in \mathbb{F}_q^k$ ,

- ▶ Multiply on the right by  $G^{pub}$  and add an error vector  $e$  of weight  $\leq t$  to obtain

$$w = mG^{pub} + e = mSGP + e.$$

When  $w$  is received by the user holding the private key,

- ▶ Multiply by  $P^{-1}$  on the right to obtain  $wP^{-1} = mSG + eP^{-1}$ .
- ▶ Apply  $D_c$  to  $wP^{-1}$  to get  $mS$ .
- ▶ Multiply by  $S^{-1}$  on the right to obtain  $m$ .

## Definition

The *Schur square* of  $\mathcal{C} \subseteq \mathbb{F}^n$  is

$$\mathcal{C}^2 = \langle \mathbf{a} * \mathbf{b} : \mathbf{a}, \mathbf{b} \in \mathcal{C} \rangle.$$

If  $\mathcal{C}_k \subseteq \mathbb{F}^n$  has basis  $\{b_1, b_2, \dots, b_k\}$ , then

$$\mathcal{C}_k^2 = \langle b_i * b_j : 1 \leq i, j \leq k \rangle.$$



# Schur square dimension

How large can the Schur square be for a given code  $\mathcal{C}$  of dimension  $k$ ?

- ▶ The largest possible dimension of is  $\binom{k+1}{2} = \frac{k(k+1)}{2}$ .
- ▶ Since  $\mathcal{C}^2 \subseteq \mathbb{F}^n$ , its dimension cannot exceed  $n$ .
- ▶ Consequently,  $\dim \mathcal{C}^2 \leq \min\{n, \binom{k+1}{2}\}$ .

## Lemma

If  $\mathcal{C} \subseteq \mathbb{F}^n$  is a code chosen at random from the set of all  $k$ -dimensional codes with  $\binom{k+1}{2} < n$ , then

$$\Pr \left( \dim \mathcal{C}_k^2 = \binom{k+1}{2} \right) = 1.$$

Goal: Choose families of codes such that  $G^{pub}$  behaves as the generator matrix of a random code. Given the above lemma, we seek codes with  $\dim \mathcal{C}^2 = \binom{k+1}{2}$ .

# Example

## Schur square of a Hermitian code

Let  $q = 5$  and  $\alpha = 12$ . Then  $\mathcal{L}(12P_\infty) = \langle 1, x, y, x^2, xy, y^2 \rangle$ .

- ▶ Counting the basis elements, observe  $k = 6$ .
- ▶ Thus, we have  $\binom{k+1}{2} = 21$ .

Computing the Schur square, we find

$$\mathcal{L}(12P_\infty)^2 = \langle 1, x, y, x^2, xy, y^2, \dots, x^2y^2, xy^3, y^4 \rangle.$$

- ▶ Counting the basis elements, we find  $15 < 21$  basis elements.
- ▶ While not extremely low, this dimension is clearly less than the desired Schur square dimension.

# Example

## Three twists

Let  $q = 5$  and  $\alpha = 12$ . Recall  $\mathcal{L}(12P_\infty) = \langle 1, x, y, x^2, xy, y^2 \rangle$ .

- ▶ To implement three “twists,” let  $\mathbf{h} = ((2, 0), (1, 1), (0, 2))$  and  $\mathbf{t} = ((4, -1), (7, 0), (10, 1))$ .
- ▶ “Hooking” and “twisting” elements appropriately,

$$\mathcal{L}_{\mathbf{k}, \mathbf{t}, \mathbf{h}, \eta}(12P_\infty) = \langle 1, x, y, x^2 + x^4y, xy + x^7y^2, y^2 + x^{10}y^3 \rangle.$$

# Example

## Three twists (continued)

- ▶ From the previous slide, recall

$$\mathcal{L}_{k,t,h,\eta}(12P_\infty) = \langle 1, x, y, x^2 + x^4y, xy + x^7y^2, y^2 + x^{10}y^3 \rangle.$$

- ▶ Counting the basis elements, we find  $k = 6$ .
- ▶ Thus, we desire a Schur square dimension of  $\binom{6+1}{2} = \frac{6 \cdot 7}{2} = 21$ .
- ▶ We indeed find that  $\dim \mathcal{L}_{t,h,\eta}(12P_\infty)^2 = 21$ .

# Why did the twists raise the dimension so effectively?

## Main ideas

- ▶ Hook elements with powers equal to the sums of other powers.
- ▶ Space out twists so that multiplied elements land in “gaps.”
- ▶ Maintain linear independence of basis elements.

# $\ell$ -Twisted Hermitian codes

## Definition

We define an  $\ell$ -twist Hermitian code to be

$$C_{\mathbf{k}, \mathbf{t}, \mathbf{h}, \boldsymbol{\eta}}(\alpha P_\infty) = \text{ev}(\langle f : f \in \mathcal{L}_{\mathbf{k}, \mathbf{t}, \mathbf{h}, \boldsymbol{\eta}}(\alpha P_\infty) \rangle), \text{ where}$$

$$\mathcal{L}_{\mathbf{k}, \mathbf{t}, \mathbf{h}, \boldsymbol{\eta}}(\alpha P_\infty) := \langle \{x^i y^j : 0 \leq i, 0 \leq j \leq q-1, iq + j(q+1) \leq \alpha, \\ (i, j) \neq (a_k, b_k), \forall k = 1, \dots, \ell\} \cup \bigcup_{k=1}^{\ell} \{x^{a_k} y^{b_k} + \eta_k x^{u+r_k} y^{v+s_k}\} \rangle.$$

# General Twisted Hermitian codes

Then, if  $\dim C_{\mathbf{k},\mathbf{t},\mathbf{h},\eta}(\alpha P_\infty) = k$ , we find that

$$\dim C_{\mathbf{k},\mathbf{t},\mathbf{h},\eta}(\alpha P_\infty)^2 \geq \binom{k+1}{2} - g.$$



# References

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